

Page 1 of 1

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/826,166

COOPER & DUNHAM LLP 1185 Avenue of the Americas

New York, NY 10036

04/04/2001

Yoshihisa Gonno

7217/64310

CONFIRMATION NO. 4029

FORMALITIES LETTER

OC000000006131654

Date Mailed: 05/31/200

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

AADMicant :

Yoshihisa Gonno et al.

Serial No.:

09/826,166

Filed

April 4, 2001

For

MANAGING APPARATUS AND METHOD

Attention :

Customer Service Center

Initial Patent Examination Division

I hereby certify that this paper is being deposited this date with the U.S. Postal Service in first class mail addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Jay H. Maioli

Reg.No. 27,213

July 6,2001

July 6, 2001 1185 Avenue of the Americas New York, NY 10036 (212) 278-0400

RESPONSE TO NOTIFICATION TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In response to the Notification of Missing Parts of Application-Filing Date Granted mailed May 31, 2001, Applicants hereby submit a newly executed Declaration that identifies the above application by serial number and filing date.

The required surcharge is also submitted herewith along with a copy of the subject Notice.

Accordingly, it is respectfully submitted that all elements of the application have now been filed and an early

and favorable examination on the merits is earnestly solicited.

Respectfully submitted,

COOPER & DUNHAM LLP

Jay M. Maioli Reg. No. 27,213

File: 7217/64310

JHM:jg Enc.